

CURRICULUM VITAE

1. **Sector covered:** xxx
2. **Family name:** CAPUTO
3. **First names:** Vincenzo
4. **Date of birth:** 22/07/1946
5. **Nationality (passport holder)::** Italian
6. **Education:**

| Institution [Date from - Date to] | Degree(s) or Diploma(s) obtained: |
|-------------------------------------|-------------------------------------|
| EHESS, Paris, France, 1987 | PhD in Economic analysis and policy |
| EHESS, Paris, France, 1982 | MA in Development Economics |
| University of Rome, Italy, 1968 | MSc in Social Sciences |

7. **Language skills: (1 – excellent ; 3 – basic)**

| Language | Reading | Speaking | Writing |
|------------|---------------|----------|---------|
| Italian | Mother tongue | | |
| English | 1 | 1 | 1 |
| French | 1 | 1 | 1 |
| Spanish | 1 | 2 | 2 |
| Portuguese | 2 | 3 | 3 |

8. **Other skills:** Computer literate: data processing, spreadsheets and word processing.
9. **Present position:** Independent consultant
10. **Key qualifications:**

Economist with more than 40 years of professional experience in developing countries and regions, in Africa, Latin America and Asia, as well as Western and Eastern Europe. Main areas of expertise and key qualifications include: Policy design, M&E, in macroeconomic and sectoral development; Capacity development; Wide experience with most international development agencies and particularly the EU development programmes. Strong leadership, mentoring and policy dialogue skills.

Academic experience

- Since 1984 to date, visiting professor in **Development Economics** in the Universities of Florence, Venice, Calabria, Rome and others.
- 1986-1990: promoter and director of the first post-graduate course in Italy on **Economic and Social Development in Africa**, at the Istituto Italo-Africano, Rome.

11. **Specific experience:**

Experience in analysis, design and evaluation of development policies and programmes with a focus on Budget Support, since the end of the 1980s, including: Author of a book (*Which development cooperation for the nineties / beyond structural adjustment – 1990*); Team leader for the evaluation of EC budget support in Ghana (1995); Responsible for the evaluation of DFID budget support in Uganda (1998); Team leader for programming of budget support at state level in Nigeria (2000); as Team leader of the CARDS evaluation (2003) and the following strategic study on Western Balkans (2004), has proposed the introduction of budget support in the EC cooperation with Western Balkans; Responsible for the methodology in the core team of the OECD Joint GBS evaluation in seven countries (2005); as EC advisor and trainer, has proposed the introduction of budget support in TACIS (2005); Team leader for the formulation and launching of a new methodology for the evaluation of budget support, corresponding to key EU concerns (2008) that, few years later, was adopted by the OECD (the 3 Step Approach); Team leader in five country evaluations of BS operations where the new methodology was applied, including Tunisia (2010), South Africa (2012), Haiti (2013), Uganda (with the IEG / WB, 2014) and Burkina Faso (2015); Quality director in other strategic evaluations of budget support, in Ghana (with the IEG / WB, 2016), Cambodia/ Education (2017), State building contracts (2019). Author, on behalf of EC, of a paper (2011) on the lessons learned from the first successful applications of the 3 Step methodology in Tunisia, Zambia and Mali; Author (jointly with the IEG / WB) of a methodological note on the 3 Step methodology after the Uganda evaluation (2015); Author, on behalf of EC DEVCO, of a review and refinement of the guidelines for the implementation of the 3 Step approach, with a focus on the Comprehensive Evaluation Framework and the contribution analysis; Team leader for the support to DG NEAR (2017) for the improvement of the Intervention Logic and indicators in budget support design and evaluation; Team leader in the IPA II sector approach evaluation, which had a strong focus on the implementation of budget support in the Western Balkans.

12. **Publications:** <http://www.enzo-caputo.com/publications.pdf>

13. Selected professional experience:

| Dates | Location | Company/ref. person | Position | Description |
|---------------------|----------------------|---|-------------------|--|
| 08/2018 ongoing | Brussels | Landell-Mills ESS CarolineM@landell-mills.com | Quality Assurance | Support to EU Delegations in charge of drafting ToR for budget support evaluations. Revises and coaches EU Delegations' draft ToRs, to ensure proper identification of issues, scope and objectives and enhanced methodological approaches. |
| 06/2016 ongoing | Brussels, Cairo | Mokoro, Particip, ADE | Quality Assurance | Various strategic Evaluations at thematic, country and sector level , including SBS in Cambodia Education; AfDB budget support in Africa; Nicaragua (country strategy); Regional Latin America (regional strategy); State Building Contracts (worldwide application of the modality); Conflict Prevention and Peace Building (thematic). |
| 05/2017-11/2018 | Balkans and Turkey | DAI-Europa Ltd judit@europa-ltd.com | Team Leader | Evaluation of the IPA II Sector Approach, including Budget Support. The assignment aims at identifying the added value of the new approach introduced in IPA II compared to IPA I, including the related implementation of a number of Sector Budget Support programmes. It implies visits in all countries, sector case studies final consultation and dissemination seminars. |
| 02-09/2017 | Brussels | ReSPA v.nikolic@respaweb.eu | Team Leader | Support to DG NEAR for improving IL and indicators in budget support programmes. The assignment implied the review of the existing programmes and the establishment of specific guidelines. |
| 06/2016-03/2017 | Brussels | CHARE EIG floriane.decourty@ade.eu | Team Leader | Review of the Methodological Approach for BS evaluation. On behalf of the DEVCO Evaluation unit, this review focuses a better understanding of the Comprehensive evaluation framework and the contribution analysis. |
| 06-12/2016 | Brussels | Particip/DEVCO Jana.Bobulova@particip.de | Team Leader | Strategic evaluation of the European Consensus for Development: through the analysis of more than 140 strategic evaluations (country, regional and thematic) with a view to provide a key input for the assessment and review of the Consensus. |
| 12/2015-06/2016 | Brussels | Particip/DEVCO Jana.Bobulova@particip.de | Team Leader | Strategic evaluation of the Cotonou Partnership Agreement: through the analysis of more than 100 strategic evaluations (country, regional and thematic) with a view to provide a key input for the assessment and review of the Cotonou Agreement. |
| 09/2015-07/2016 | Brussels | Particip/EEAS FPI-4. EU PI Assessment and RF. Jana.Bobulova@particip.de | Team Leader | Assessment of the implementation of the Partnership Instrument and proposal of a new Results Framework. The PI is the instrument of the EEAS to support the ten EU strategic partnerships and enhance partnership agreements worldwide of the key EU foreign policy objectives. |
| 03/2015-06/2016 | Ouagadougou-Brussels | Particip/EC-Aidco Joint Evaluation Unit Simon.dietrich@particip.de | Team Leader | EU evaluation of Budget Support to Burkina Faso (2009-2014). It includes all the BS programmes financed in this period by nine development partners, (World Bank, ADB, EU, France, Germany, Denmark, Sweden, Dutch and Switzerland). The focus is on macro and growth results as well as on Health and Water & Sanitation. |
| 09/2014-02/2016 | Kampala, Washington | Particip/EC-Aidco Ev. Unit Tino.smail@particip.de | Co-Team Leader | Joint EU-World Bank Evaluation of Budget Support to Uganda in the period 2004-2013. This is the first EU-World Bank joint exercise, according to the recent DAC methodology for BS evaluation. |
| 02/2014-10/2015 | Brussels | Particip/ EC-AidCo - ACP maria.navarro@particip.de | Team Leader | Support study for the identification of a Performance Based Mechanism in the EDF. The mechanism should reward country performance in governance and other areas. It is a base for decision of the EDF committee. |
| 12/2013-12/2015 | Brussels | ADE/DEVCO victoria.errea@ade.eu | Trainer | Trainer and preparation of training material for training in evaluation of budget support, according to the OECD 3 Step approach. He has prepared three training modules based on the three case studies of Mali, Tunisia and Zambia, for EC staff and external consultants and has participated in pilot training exercises. |
| 07/2013- to 07/2014 | Haiti and EU | Particip/ EC-AidCo Joint Evaluation Unit tino.smail@particip.de | Team Leader | Evaluation of the EC country programme in Haiti. The evaluation covers the period 2008-2012, including the pre- and post-earthquake. The country programme has a strong budget support component with a focus on addressing the fragility of the Haitian state and its social and economic environment. |
| 09/2012-12/2013 | South Africa and EU | Particip/ EC-AidCo Joint Evaluation Unit tino.smail@particip.de | Team Leader | Evaluation of EC budget support operations in South Africa, in the period 2000-2010. This is part of an OECD/DAC plan to consolidate the 3 Step methodology. In South Africa, the EC BS focuses policy innovation in employment, access to justice, support to the Parliament, water and sanitation, health and education. |
| 07-11/ 2011 | Brussels and home | DRN / EC JEU, Marco Palmiini m.palmiini@drn-network.com | Team leader | Synthesis of the results of the 3 budget support evaluations in Tunisia, Mali and Zambia. On behalf of the DAC Steering Group on BS Evaluation, this exercise aimed at understanding strengths, weaknesses and the way forward for the consolidation of the new methodology for BS evaluation (3 Step approach). |
| 03/2010, 12/2012 | EU & third countries | DRN / EC Joint Evaluation Unit, as above | Team leader | Evaluation Methodology & Baseline Study of European Commission Technical Cooperation Support. The study intends to develop a detailed results orientated methodology on the relationship between capacity development and the standard chain of results (output-outcome-impact), including a number of case studies. |

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| 04/2010-07/2010 | Latin America & EU | DRN / EC, as above | Team leader | Identification of the Second Regional Programme for social cohesion in Latin America - EUROsociAL II , contributing to increase the degree of social cohesion in LA countries, through the exchange of best practices between European and LA administrations, in education, health, justice, fiscal and employment public policies. |
| 08/2009-11/2010 | Worldwide | DRN / EC Joint Evaluation Unit, as above | Team leader | Thematic global evaluation of European Commission support in the sectors of ESI (employment and social inclusion) in partner countries. The aim of this evaluation was to assess the EC sectoral assistance over the period 1999-2008. |
| 12/2008-11/2010 | Tunisia & Brussels | DRN for the EC Joint Evaluation Unit, as above | Team leader | Evaluation of EC Cooperation with Tunisia in the period 1995-2008, with a focus on EC budget support operations. The evaluation has been the first test of the 3 Step methodology. It has highlighted the links between BS and the global EU-Tunisia partnership, including the role of political and policy dialogue. |
| 01/2008-01/2009 | Togo | DRN for the EU Delegation | Team leader | Chief advisor to the Government of Togo in the preparation of the Donor Conference on the Economic and Political recovery process in the country (Brussels Round Table, Sept 2008). Has helped in the preparation of general and sectoral strategies and the identification of key steps to become eligible for budget support. |
| 11/2007-04/2008 | LA & EU | DRN / EC, as above | Team leader | Mid-term evaluation of the EC-funded EUROsociAL programme that aims at furthering social cohesion through the sharing of policy experience between the administrations of EU and Latin American countries. |
| 04/2007-06/2008 | Brussels | DRN for the EC Joint Evaluation Unit, as above | Team Leader | Development of a new methodology for the evaluation of Budget Support operations at country level on behalf of the EC Joint Evaluation Unit. The team has developed a comprehensive evaluation framework to be applied through the innovative 3-Step approach , combining aid and policy, process and impact evaluation. |
| 09/2007-11/2008 | Eastern & Southern Africa | DRN for the EC Joint Evaluation Unit, as above | Team Leader | Evaluation of the EC Support to the Region of Eastern and Southern Africa and Indian Ocean , covering both 8 th and 9 th EDF. |
| 11/2005-01/2007 | Kosovo | Enterplan / DFID, Jonathon Ridley jmr@enterplan.co.uk | Coordinator | Coordinator of the external technical assistance provided to the Kosovo Provisional Government for the establishment of a National Development Strategy (PRSP type) and a Medium Term Expenditure Framework. The strategy preparation was undertaken through an inclusive process. |
| 11/2005-07/2007 | Brussels and others | ITAD / EC | Advisor | Advisor to the EC on the Methodological Support and Training in Aid Delivery Methods: application of the Paris Declaration, Sector Policy Support and Budget Support. |
| 07/2004-10/2005 | Multiregion | DRN / DFID | Quality & Methods | Member of the Core team and responsible for the methodology in the Joint Evaluation of General Budget Support , in the framework of the OECD/DAC (5 ACP countries plus Vietnam and Nicaragua). |
| 10/2004-02/2006 | Balkans | DRN / EC | Team leader | Study on the Economic and Institutional Reform in the Western Balkans and the Way Forward for the International Assistance: the study contributed to the analytical and strategic framework for the establishment of the new EU-IPA programme, which replaced CARDS, including the recommendation of budget support. |
| 03/2003-10/2004 | Balkans | DRN / EC | Team leader | Evaluation of CARDS Regulation 2000-2006 aiming at assessing CARDS contribution with reference to the stabilization and association process and Evaluation of the implementation of Council Regulation on the European Agency for Reconstruction: institutional evaluation. |
| 03/2003-05/2003 | Poland | DRN / EC | Advisor | Promotion and support of the SAPARD Programme implementation in Poland. Overall technical coordination and strategic guidance in the initial phase of the project. |
| 05/2002-05/2003 | Nigeria | DRN / EC | Team Leader | Study for state-level reform in Nigeria. Pre-implementation phase of the country programme, according to the Programming Study (2000-2001), in the two focal sectors (water and sanitation; institutional and economic reform) in the six states selected for future co-operation. Assessment of the feasibility of state level budget support. |
| 07/2001-05/2002 | Asia and Latin America | DRN / EC | Team Leader | Evaluation of the ALA Regulation (all the EC co-operation programmes in Asia and Latin America countries and sub-regions) , over the period 1990-2000. |
| 07/2000-09/2001 | Nigeria | DRN / EC | Team Leader | The EC Programming study for Nigeria aimed at identifying the five-year EC country programme (700 million Euros). It has implied comprehensive and participatory assessment and planning, in all key sectors involving a team of more than 50 experts. It has included the planning of budget support operations at state level in six states. |
| 01-12/2000 | Poland | DRN / EC | Team Leader | Pilot project for human resource development in rural areas with regard to acquis communautaire and needs of accession of Poland to EU. |
| 1999-2001 | Russia | DRN / EC | Team Leader | Evaluation of the EC-TACIS country programme in Russia , over the period 1992-1999, including recommendations for the new Tacis Regulation. This complex evaluation required adaptation of methodologies and produced significant conclusions (very up-to-date) on the EU-Russia technical cooperation. |
| 1996-1999 | Latin America | DRN / EC | Team Leader | Evaluation of the whole EC regional programme in Latin America. The evaluation, spread on three phases, included about 600 projects for an amount of about 1 Billion ECU. |

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| 1998 | Haiti | DRN / EC | Team Leader | Identification study: consultations and policy dialogue for the preparation of the VIII EDF in the agricultural sector. |
| 1998 | Georgia | DRN / EC | Team Leader | Evaluation of the EC-TACIS country programme in Georgia , over the period 1992-97. Methodological innovation and first application of the comprehensive country evaluation framework. |
| 1996-1997 | Albania | DRN / EC | Team Leader | Policy advisory unit at the Ministry of agriculture and food; The project supported the Ministry in policy analysis, aid coordination and establishment of the strategic priorities for action. |
| 1995-97 | West Africa | DRN coop/EC | Team Leader | Global Evaluation of EC regional Cooperation in West Africa. |
| 1996 | ACP countries | DRN coop/EC | Team Leader | Evaluation of the instruments of the EU-ACP co-operation, since 1960: concept paper based on the study of the sectoral, regional, and country evaluations of the EC-ACP programmes. |
| 1994-95 | Ghana | DRN coop/EC | Team Leader | Co-ordinator of two parallel evaluations in Ghana: (a) EC support to structural adjustment ; and (b) STABEX transfers |
| 1993-1994 | Ghana | DRN coop/EC | Team Leader | Policy study on enhancing State Owned Enterprises' privatisation in Ghana and enabling the participation of Ghanaian entrepreneurs. |
| 1992-1995 | Hungary | Consultant - FAO | Team Leader | Co-ordinator of a Technical Co-operation Project (TCP) aimed at policy review and restructuring in the Ministry of Agriculture in Hungary, including advisory on privatisation and rural employment policies. |
| 1991-1995 | Romania | DRN coop/World Bank | Team Leader | Identification, appraisal and support to the pilot implementation of a World Bank project aimed at the establishment of a private-based nation-wide farmers' and agri-business consultancy service (FACS). |
| 1991-1995 | Albania | Consultant - FAO | Team Leader | Team Leader for the first two years, then Project co-ordinator of policy advisory unit in the Ministry of agriculture and food: strategic management and training, sector review, policy advice for decentralisation and privatisation. |
| 1991-1994 | ACP countries | DRN coop - EC | Co-ordinator | Coordinator of a Team in charge of the preparation of guidelines of employment policy within the Structural Adjustment Reform |
| 1989-90 | Djibouti | Agorà 2000 / EC | Advisor | Advising on setting up an Inter-government Food Security Strategy and the related Plan of Action, in IGADD Sub-region. |
| 1989-1992 | Russia | CISIA | Team Leader | Identification and evaluation of programmes including general policy framework, sub-sectoral policies and priority projects for privatisation and transition to the market in the food and agricultural sector. |
| 1988-93 | Philippines | FAO | Co-ordinator | Identification, appraisal, monitoring and on-going evaluation of the main technical assistance project to the Filipino Government for the implementation of the Comprehensive agrarian reform program (CARP) |
| 1988-92 | World-wide | FAO | Individual Advisor | Main advisor for the establishment and updating of the FAO Comprehensive world-wide food security strategy |
| 1987 | Zimbabwe | FAO | Team leader | Evaluation of a rural development programme, including support to farmers associations and mechanisation, in Central Mashonaland. |
| 1985-90 | West Africa | OECD | Individual Advisor | Advising on the reform of grain marketing policies in Sahel and West Africa countries, incl. various policy research papers synthesised in a book 'Politiques Céréalières et Stratégies de Développement en Afrique' 1989 |
| 1986-91 | Italy | Istituto Italo-Africano | Co-ordinator | Co-ordinator of the Centre for Ethiopian and Sahelian Development Studies and the related post-graduate course on Economic and Social development in Africa. |
| 1979-85 | Mozambique and Zimbabwe | Technosynthesis / Italian Cooperation | Team leader | Advisory services to the government of Mozambique (1979-82) for the establishment of a rural development strategy and a plan in the Lishinga region. Advisory services to the government of Zimbabwe (1981-85) for the establishment of a rural development strategy and a plan in the Manicaland region. |
| 1975-89 | West Africa | Consultant - Italian Cooperation | Team leader | Team leader in the identification and detailed formulation of important rural and regional development programmes (including investments of hundreds of million dollars and innovative approaches for the promotion of smallholders initiative) in Ethiopia, Senegal, Togo and other WA countries. |
| 1969-75 | Southern Italy | University of Rome & others | Researcher | Economic and social research work on southern Italy regions |